

## Indicators of Growth of Polynomials of Best Uniform Approximation to Holomorphic Functions on Compacta in $C^N$

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Let  $E$  be a compact and  $L$ -regular subset of  $C^N$ . Siciak has shown that a function  $f$  on  $E$  has a holomorphic extension to  $E_R$ —the interior of the level curve of the Siciak extremal function—if and only if  $\limsup_{n \rightarrow \infty} (\sup_E |f - p_n|^{1/n}) \leq 1/R$  ( $R > 1$ ), where  $p_n$  is a best approximating polynomial to  $f$  of degree not greater than  $n$ . The aim of this paper is to show that  $f$  has a holomorphic extension to  $E_R$  if for some sequence  $\{p_n\}$  of the polynomials of best approximation to  $f$

$$\limsup_{n \rightarrow \infty} \|\widehat{p}_n\|^{1/n} \leq (Rd(E))^{-1}$$

and if  $f$  has such an extension, for all  $\{p_n\}$ , there holds

$$\limsup_{n \rightarrow \infty} \|\widehat{p}_n\|^{1/n} \leq (Rc_m(E))^{-1}.$$

Here  $\|\widehat{p}_n\|$  denotes a norm on the homogeneous terms of degree  $n$  in  $p_n$  and  $c_m(E)$ ,  $d(E)$  are some multidimensional counterparts of the logarithmic capacity and the Chebyshev constant, respectively. © 1994 Academic Press, Inc.

### 0. INTRODUCTION

Let  $E$  be a compact set in the complex plane  $C$ , regular in the following sense: if  $G_E$  denotes the generalized Green function for the unbounded component  $D_\infty$  of the set  $C \setminus E$  with a pole at the point  $z = \infty$ , then

$$\text{for all } \zeta \in \partial D_\infty \quad \lim_{z \rightarrow \zeta} G_E(z) = 0.$$

For  $R > 1$ , let  $E_R := \{z \in C : G_E \leq \log R\} \cup C \setminus D_\infty$ .

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Let  $f$  be a complex valued function defined on  $E$  and let  $p_n(z) = a_n z^n + \dots + a_0$  be its polynomial of best approximation in uniform norm  $L^\infty(E)$ , i.e.,

$$\|f - p_n\|_{L^\infty(E)} = \text{dist}(f, P_n) := \inf\{\|f - q\|_{L^\infty(E)} : q \in P_n\},$$

where  $P_n$  denotes the set of all polynomials of degree not greater than  $n$ . One of the classical results of the constructive theory of functions is the following theorem due to S. N. Bernstein, if  $E = [-1, 1]$  (see [1, p. 450]).

**THEOREM 0.1** (cf. [2, Theorem 2.1; 16, Theorem 3]). *The function  $f$  has a holomorphic extension  $\tilde{f}$  to the interior of  $E_R$  if and only if*

$$\lambda(f, E) := \limsup_{n \rightarrow \infty} |a_n|^{1/n} = (c(E) R)^{-1},$$

where  $c(E)$  denotes the logarithmic capacity of the set  $E$ .

Thus, the number  $\lambda(f, E)$  is an indicator of growth of the polynomials of best uniform approximation to the function  $f$  in a neighbourhood of the set  $E$ .

The aim of this paper is to introduce some similar indicators in the case of the space  $C^N$  (Definition 2.4).

Although the idea of the generalization given here seems to be natural, I have not seen it in available literature on the constructive theory of functions of several complex variables.

## 1. TYPE OF GROWTH OF THE LEJA-SICIAK EXTREMAL FUNCTION AND $L$ -CAPACITY OF COMPACTA IN $C^N$

Let  $q$  be a norm in  $C^N$ . Denote

$$B_q(r) := \{z \in C^N : q(z) < r\}.$$

For every real-valued, non-negative function  $\phi$ , defined on  $C^N$ , we define two numbers being indicators of growth of this function at infinity (cf. [8, Chap. 1]). Denoting

$$M_q(\phi, r) := \sup\{\phi(z) : z \in B_q(r)\}$$

we set

$$\rho_q(\phi) := \limsup_{r \rightarrow \infty} (\log M_q(\phi, r) / \log r)$$

and, if  $\rho := \rho_q(\phi) < \infty$ ,

$$\sigma_q(\phi) := \limsup_{r \rightarrow \infty} (r^{-\rho} M_q(\phi, r)).$$

Since all norms in  $C^N$  are equivalent, the numerical value of  $\rho_q(\phi)$  does not depend on the choice of a norm in  $C^N$ , while for every two norms  $p$  and  $q$  the numbers  $\sigma_q(\phi)$  and  $\sigma_p(\phi)$  may be different but have the same character, i.e., either  $\sigma_q(\phi) = \sigma_p(\phi) \in \{0, \infty\}$  or they are finite positive numbers (see [8, Chap. 1]).

**DEFINITION 1.1.** The numbers  $\rho(\phi)$  and  $\sigma_q(\phi)$  are called *order* and *type* of the function  $\phi$ , respectively, with respect to the chosen norm  $q$ .

Zakharyuta [18] and Siciak [13] proposed the following generalization of the logarithmic capacity of a compact set  $E$  in  $C^N$ .

Denote by  $P_n$  the set of all polynomials in  $N$  complex variables of degree not greater than  $n$  ( $n = 0, 1, 2, 3, \dots$ ).

Let, for  $z \in C^N$ ,

$$\Phi_E(z) := \sup \{ |p(z)|^{1/n} : p \in P_n, n \in N, \|p\|_{L^\infty(E)} \leq 1 \}$$

denote *Siciak's extremal function of the set  $E$*  (cf. [12]). In the case of  $N = 1$  the function  $\Phi_E$  is equal to Leja's extremal function  $L_E$  that has the property

$$\log L_E(z) = G_E(z) \quad \text{for } z \in D_\infty$$

(cf. [7, p. 274]).

**DEFINITION 1.2.** For every compact set  $E$  in  $C^N$  the number

$$c_q(E) := \liminf_{r \rightarrow \infty} (r/M_q(\Phi_E, r))$$

is called the *L-capacity* of the set  $E$  with respect to the norm  $q$ .

*Observation 1.3.* The *L-capacity*  $c_q(E)$  of the set  $E$  is the inverse of the type  $\sigma_q(\Phi_E)$  of the extremal function  $\Phi_E$ .

As a corollary to [13, Theorem 3.10 and Corollary 3.9], we get the following characterization of *pluripolar sets* in  $C^N$ , i.e., such sets  $E \subset C^N$  that  $E \subset \{z \in C^N : u(z) = -\infty\}$ , where  $u$  is a plurisubharmonic function not identically equal to  $-\infty$ .

**PROPOSITION 1.4.** Let  $q$  be a norm and  $E$  be a compact set in  $C^N$ . Then

(1) The set  $E$  is not pluripolar if and only if  $\Phi_E$  is a function of order 1 and of normal type, i.e.,  $\sigma_q(\Phi_E) \in (0, \infty)$ .

(2) If  $E$  is a pluripolar set, then the order of the function  $\Phi_E$  is infinite.

The following relation between  $L$ -capacity of a compact set  $E$  and the set  $E_R := \{z \in C^N : \Phi_E(z) \leq R\}$  for  $R > 1$  is furnished by Mazurek's lemma (cf. [13, Proposition 5.11]).

**PROPOSITION 1.5.** For every compact set in  $C^N$  and every number  $R > 1$  the following equalities hold

$$c_q(E_R) = R c_q(E)$$

for every norm  $q$  in  $C^N$ , and

$$\sigma_q(\Phi_{E_R}) = R^{-1} \sigma_q(\Phi_E),$$

if  $E$  is not pluripolar.

## 2. NECESSARY CONDITIONS FOR ANALYTICITY OF FUNCTIONS IN A NEIGHBOURHOOD OF A COMPACT SET IN $C^N$

Denote by  $H_n$  the subset of  $P_n$  containing all homogeneous polynomials of degree  $n$ . Let  $m$  be the polydisc norm in  $C^N$ , i.e.,

$$m(z) := \max\{|z_i|, i \in \{1, \dots, N\}\}, \quad z = (z_1, \dots, z_N) \in C^N.$$

Denote by  $D_N(r)$  the polydisc centered at zero and of radii equal to  $r$ . With the previous notations, we have  $D_N(r) = B_m(r)$ .

Let  $p_n \in P_n$ , so that  $p_n(z) = \sum_{|\alpha| \leq n} a_\alpha z^\alpha$ , where  $\alpha$  is a multi-index from  $N_0^N := \{\eta = (\eta_1, \eta_2, \dots, \eta_N) : \eta_i = 0, 1, 2, \dots \text{ for } i = 1, 2, \dots, N\}$  and  $|\alpha|$  denotes its length, i.e.,  $|\alpha| := \alpha_1 + \dots + \alpha_N$ .

*Notation 2.1.* By  $\widehat{p}_n \in H_n$  we denote the homogeneous polynomial  $\sum_{|\alpha|=n} a_\alpha z^\alpha$  corresponding to a polynomial  $p_n(z) = \sum_{|\alpha| \leq n} a_\alpha z^\alpha \in P_n$ .

With the above notation we have

**LEMMA 2.2.** For every non-pluripolar set  $E$  in  $C^N$  and every  $p \in P_n$  the following estimate holds

$$\|\widehat{p}_n\|_{D_N(1)} \leq (\sigma_m(\Phi_E))^n \|p_n\|_E.$$

*Proof.* By the definition of the extremal function we have

$$\|p_n\|_{D_N(R)} \leq (\|\Phi_E\|_{D_N(R)})^n \|p_n\|_E, \quad R > 1,$$

which is a generalized Bernstein-Walsh inequality. Since  $\|R^{-n}(p_n - \widehat{p}_n)\|_{D_N(R)} \rightarrow 0$ , when  $R \rightarrow \infty$  and  $\|R^{-n}\widehat{p}_n\|_{D_N(R)} = \|\widehat{p}_n\|_{D_N(1)}$ , by Definition 1.1 we obtain the assertion of the lemma.

*Remark 2.3.* In the case of  $N=1$  the lemma was proved in [2] (cf. Lemma 4.1).

Let  $E$  be an  $L$ -regular compact set in  $C^N$  (i.e., such a set that Siciak's extremal function  $\Phi_E$  is continuous in  $C^N$ ) and let  $f$  be a holomorphic function in the set  $E_R$ , for a number  $R > 1$ . Denote by  $\{p_n\}_{n \in \mathbb{N}}$  any sequence of polynomials of best uniform approximation of  $f$ , i.e.,

$$\|f - p_n\|_E = \inf\{\|q - f\|_E : q \in P_n\}.$$

Since, for  $N \geq 2$  the set  $P_n$  is not in general a Haar subspace of the space  $C(E)$  of all continuous functions defined on the set  $E$  (considered with the  $L^\infty(E)$ -norm), the polynomial  $p_n$  is not necessarily unique (see Example 2.5).

Let  $P(f, E)$  denote the family of all sequences of polynomials of best approximation to the function  $f$  in  $L^\infty(E)$ -norm.

For every  $\{p_n\} \in P(f, E)$  we set

$$\lambda(f, E, \{p_n\}) := \limsup_{n \rightarrow \infty} (\|\widehat{p}_n\|_{D_N(1)})^{1/n}.$$

DEFINITION 2.4. The number

$$\lambda(f, E) := \sup\{\lambda(f, E, \{p_n\}) : \{p_n\} \in P(f, E)\}$$

will be called the *indicator of growth of the polynomials of best approximation to the function  $f$  on the set  $E$* .

There arises a question of whether the number  $\lambda(f, E, \{p_n\})$  really depends on the choice of the sequence  $\{p_n\}$  from  $P(f, E)$ . The purpose of Example 2.5 is to answer this question.

EXAMPLE 2.5. Following the one-dimensional idea of Chebyshev and using the Kolmogorov test one can find the polynomials of best approximation to the function

$$f(z, w) := \frac{1}{(z-a)(w-b)}, \quad |a| \geq |b| > 1 \quad (2.1)$$

on the unit bidisc  $D_2(1) = \{(z, w) \in C^2 : |z| \leq 1, |w| \leq 1\}$  (see [10, Chap. 4.3]).

The set of best approximating polynomials of degree  $n$  to  $f$  in  $L^r(D_2(1))$ -norm contains all polynomials of the form

$$p_{n,k}(z, w) := q_{n-k}(z) r_k(w), \quad k \in \{0, 1, 2, \dots, n\}, \quad (2.2)$$

where

$$\begin{aligned} q_l(z) &= \frac{1}{z-a} + \frac{1}{a^l(|a|^2-1)} \frac{1-\bar{a}z}{z-a} z^l \\ &= -\frac{\bar{a}}{a^l(|a|^2-1)} z^l - a^{-l} \frac{z^l - a^l}{z-a} \end{aligned}$$

and

$$r_m(w) = -\frac{\bar{b}}{b^m(|b|^2-1)} w^m - b^{-m} \frac{w^m - b^m}{w-b}.$$

Hence

$$\widehat{p_{n,k}}(z, w) = \bar{a} a^{-n+k} (|a|^2-1)^{-1} \bar{b} b^{-k} (|b|^2-1)^{-1} z^n w^k$$

and

$$\|\widehat{p_{n,k}}(z, w)\|_{D_2(1)} = |a|^{-n+k+1} (|a|^2-1)^{-1} |b|^{-k+1} (|b|^2-1)^{-1}.$$

It is easily seen that

$$|a|^{-1} \leq \lambda(f, D_2(1), \{p_{n,k}\}) \leq |b|^{-1}$$

and for each point  $\rho$  from the closed interval  $[|a|^{-1}, |b|^{-1}]$  there is a subsequence from  $P(f, D_2(1))$  of the form (2.2), which converges to  $\rho$ .

This gives an example of non-uniqueness of the polynomials of best approximation and shows that the value of  $\lambda(f, D_2(1))$  depends indeed on the choice of  $\{p_n\} \in P(f, D_2(1))$ .

With the previous notations, the following theorem is true.

**THEOREM 2.6.** *If  $E$  is an  $L$ -regular compact set in  $C^N$  and  $f$  is a holomorphic function in the interior of  $E_R$ , for a number  $R > 1$ , then*

$$\lambda(f, E) \leq R^{-1} \sigma_m(\Phi_E) = (Rc_m(E))^{-1}.$$

*Proof.* By the Bernstein-Walsh-Siciak theorem (see [12, Theorem 10.1]), for every number  $r \in (1, R)$ , any sequence of polynomials  $p_n$  from  $P(f, E)$  tends to  $f$  uniformly on  $E_r$ , so the upper bound

$$M_r := \sup\{\|p_n\|_{E_r} : n \in \mathbb{N}\}$$

is finite. By Lemma 2.2, Proposition 1.4, and the definition of  $\lambda(f, E, \{p_n\})$

$$\lambda(f, E, \{p_n\}) \leq r^{-1} \sigma_m(\Phi_E).$$

By the arbitrariness of the choice of  $r \in (1, R)$  and  $\{p_n\} \in P(f, E)$ , we conclude that the theorem holds.

**EXAMPLE 2.7.** Let  $f$  be the function defined in Example 2.5. Regarding Definitions 1.2 and 2.4 we obtain  $c_m(D_2(1)) = 1$  and  $\lambda(f, D_2(1)) = |b|^{-1}$ . It is easily seen that  $f$  admits an analytic extension to the interior of  $D_2(|b|)$ , which corresponds to  $\{(z, w) \in C^2 : \Phi_{D_2(1)}(z, w) \leq |b|\}$ .

This illustrates the previous theorem.

### 3. SOME CONSTANTS OF CHEBYSHEV TYPE

Take a multi-index  $\alpha \in N_0^N$  and choose a polynomial  $l_\alpha$  in the set  $P_{|\alpha|-1}$  such that

$$\inf\{\|z^\alpha + p\|_E, p \in P_{|\alpha|-1}\} = \|l_\alpha\|_E, \quad (3.1)$$

where  $t_\alpha = z^\alpha + l_\alpha$ .

*Remark 3.1.* In the case of  $N = 1$  and  $E = [-1, 1]$ , the polynomials  $t_\alpha$  were introduced by P. L. Chebyshev (see [4, p. 195; 5, Vol. III, pp. 24–48]). Zeriahi [19] has investigated such polynomials in the case of the norm  $L^2(E)$  and called them *extremal polynomials of the set E in C<sup>N</sup>*.

By the definition of  $t_\alpha$ , for every  $\alpha, \beta \in N_0^N$  the following inequality holds

$$\|t_{\alpha+\beta}\|_E \leq \|t_\alpha\|_E \|t_\beta\|_E. \quad (3.2)$$

Let us consider the number

$$d_n(E) = \sup\{\|t_\alpha\|_E : \alpha \in N_0^N, |\alpha| = n\}. \quad (3.3)$$

We will show that for all natural numbers  $k, l$  we have the inequality

$$d_{k+l}(E) \leq d_k(E) d_l(E). \quad (3.4)$$

Fix  $\alpha \in N_0^N$  such that  $|\alpha| = k + l$  and  $d_{k+l}(E) = \|t_\alpha\|_E$ . For every number  $j \in \{0, 1, \dots, k + l\}$  choose the subset  $A_j$  of the set  $N_0^N$  as

$$A_j := \{\beta \in N_0^N : |\beta| = j \text{ and } \exists \gamma \in N_0^N : \beta + \gamma = \alpha\}.$$

By inequality (3.2) it follows that

$$d_{k+l}(E) = \|t_\alpha\|_E \leq \sup_{\beta \in A_k} \|t_\beta\|_E \sup_{\gamma \in A_l} \|t_\gamma\|_E \leq d_k(E) d_l(E)$$

which proves the inequality (3.4) and the existence of the limit

$$d(E) = \lim_{n \rightarrow \infty} (d_n(E))^{1/n}$$

(cf. [7, p. 257]).

**DEFINITION 3.2.** We call the number  $d(E)$  the *Chebyshev constant of the compact set  $E$  in  $C^N$* .

Let  $\Gamma$  be the set of all bijections  $\kappa: N \rightarrow N_0^N$  such that  $|\kappa(j)| \leq |\kappa(j+1)|$ , for every  $j \in N$ . Zakharyuta [17] (see also [6]) has introduced the following two constants for the compact set  $E$ :

$$\tau_+(E, \kappa) := \limsup_{j \rightarrow \infty} (D_\kappa(j))^{1/|\kappa(j)|} \quad (3.5)$$

and

$$\tau_-(E, \kappa) := \liminf_{j \rightarrow \infty} (D_\kappa(j))^{1/|\kappa(j)|}, \quad (3.6)$$

where  $\alpha \in N_0^N$  and

$$D_\kappa(j) := \inf\{\|z^{\kappa(j)} + k\|_E, k \in \Pi_\kappa(j)\},$$

$$\Pi_\kappa(j) := \left\{ \sum_{i=1}^{j-1} c_i z^{\kappa(i)} : c_i \in C \right\}.$$

Denote by

$$\tau_+(E) := \sup\{\tau_+(E, \kappa), \kappa \in \Gamma\},$$

$$\tau_-(E) := \inf\{\tau_-(E, \kappa), \kappa \in \Gamma\}.$$

One can easily see that

$$d(E) \geq \tau_+(E, \kappa), \quad \text{for all } \kappa \in \Gamma. \quad (3.7)$$

In particular  $d(E) \geq \tau_+(E) \geq \tau_-(E)$ .

There arises the question of whether there exists a bijection  $\kappa \in \Gamma$  such that the equality in (3.7) holds. We are going to define an *extremal bijection*  $\kappa_E$ , associated with the *extremal polynomials*  $t_\alpha$  (cf. Remark 3.1), whose properties allow us to answer this question in the affirmative.

From the set  $M_n := \{\alpha \in N_0^N : |\alpha| = n\}$  of the multi-indices of length  $n$  choose a multi-index  $\zeta_n$  such that  $d_n(E) = \|t_{\zeta_n}\|_E$ , and next order the set



$M_n$  in such a manner that  $\zeta_n$  precedes all remaining elements of  $M_n$ . Supposing, moreover, that every multi-index  $\beta \in M_{n-1}$  precedes every multi-index  $\gamma \in M_n$ , we define the required bijection  $\kappa_E \in \Gamma$  so that the order given by it is identical with the one prescribed above. In particular, we have

$$\kappa_E^{-1}(\beta) < \kappa_E^{-1}(\zeta_n) \quad \text{implies} \quad |\beta| \leq n-1, \text{ for each } \beta \in N_0^N.$$

One can easily see that

$$\Pi_{\kappa_E}(\kappa_E^{-1}(\zeta_n)) = P_{n-1},$$

hence

$$D_{\kappa_E}(\zeta_n) = \inf\{\|z^{\zeta_n} + k\|_E, k \in P_{n-1}\} = \|t_{\zeta_n}\|_E = d_n(E),$$

and we obtain the following

**COROLLARY 3.3.** *For every compact set  $E \subset C^N$*

$$d(E) = \tau_+(E) \geq \tau_+(E, \kappa) \geq \tau_-(E), \quad \kappa \in \Gamma.$$

**EXAMPLE 3.4.** The extremal bijection defined above depends on the set  $E$ . Let  $E := \{(z_1, z_2) : |z_1| \leq 1, z_2 = 0\}$  and  $F := \{(z_1, z_2) : z_1 = 0, |z_2| \leq 1\}$ .

It is easy to check that one can define  $\kappa_E$  and  $\kappa_F$  as

$$\begin{aligned} \kappa_E(1) &= (0, 0), \\ \kappa_E(2) &= (1, 0), & \kappa_E(3) &= (0, 1), \\ \kappa_E(4) &= (2, 0), & \kappa_E(5) &= (1, 1), & \kappa_E(6) &= (0, 2), \\ \kappa_E(7) &= (3, 0), & \kappa_E(8) &= (2, 1), & \kappa_E(9) &= (1, 2), & \kappa_E(10) &= (0, 3), \\ & \dots \end{aligned}$$

and

$$\begin{aligned} \kappa_F(1) &= (0, 0), \\ \kappa_F(2) &= (0, 1), & \kappa_F(3) &= (1, 0), \\ \kappa_F(4) &= (0, 2), & \kappa_F(5) &= (2, 0), & \kappa_F(6) &= (1, 1), \\ \kappa_F(7) &= (0, 3), & \kappa_F(8) &= (3, 0), & \kappa_F(9) &= (2, 1), & \kappa_F(10) &= (1, 2), \\ & \dots \end{aligned}$$

Moreover, neither  $\kappa_E$  is an extremal bijection for the set  $F$  nor  $\kappa_F$  for  $E$ .

*Remark 3.5.* In the case of  $N=1$ , the set  $\Gamma$  has only one element, hence by (3.4) the limits in (3.5), (3.6) exist and are equal to the transfinite diameter of the set  $E$  as well as its logarithmic capacity  $c(E)$  (see, e.g., [15, Theorem III.26]).

For  $N>1$ , the following example due to Zakharyuta shows that, in general,  $\tau_+(E) > \tau_-(E)$ .

**EXAMPLE 3.6** (cf. [17]). Consider  $E := \{z \in \mathbb{C}^2 : |z_1| \leq 1, z_2 = 0\}$ . Then  $\tau_+(E) = 1$ , but  $\tau_-(E) = 0$ . Moreover, this illustrates the fact that the pluripolarity of  $E$  need not imply  $\tau_+(E) = 0$ .

Hence, by [17, Sect. 5, Theorem 1 and Sect. 7, Corollary 6] and Corollary 3.3 we obtain the following relations between the constant  $d(E)$ , Zakharyuta's constants of the Chebyshev type, and the  $L$ -capacity  $c_m(E)$  of the set  $E$ , associated with the polydisc norm (cf. Definition 1.2).

**COROLLARY 3.7.** *For every compact set  $E$  in  $\mathbb{C}^N$  we have*

$$d(E) = \tau_+(E, \kappa_E) = \tau_+(E) \geq c_m(E).$$

Moreover, from Proposition 1.4, we derive the following

**COROLLARY 3.8.** *For every non-pluripolar compact set  $E$  in  $\mathbb{C}^N$  the constant  $d(E)$  is positive.*

Similar relations among capacities in  $\mathbb{C}^N$  one can find also in [9].

#### 4. SUFFICIENT CONDITIONS FOR HOLOMORPHIC EXTENSION OF FUNCTIONS TO A NEIGHBOURHOOD OF A COMPACT SET IN $\mathbb{C}^N$

Denote by  $W(E)$  the closure in the  $L^\infty(E)$ -norm of the algebra of all polynomials in  $N$  complex variables, where  $E$  is a compact set in  $\mathbb{C}^N$ . Fix a function  $f$  in  $W(E)$  and consider a sequence of polynomials  $\{p_n\}_{n \in \mathbb{N}}$  of best approximation to the function  $f$  in the  $L^\infty(E)$ -norm. Observe that, writing the polynomial  $p_{n+1}$  in the form

$$p_{n+1}(z) = \sum_{|\alpha| \leq n+1} a_\alpha^{(n+1)} z^\alpha,$$

we get

$$\|f - p_n\|_E \leq \left\| f - \left( p_{n+1} - \sum_{|\alpha|=n+1} a_\alpha^{(n+1)} t_\alpha \right) \right\|_E,$$

where  $t_x$  is a polynomial defined by (3.1), with  $|\alpha| = n + 1$ . Hence

$$\|f - p_n\|_E \leq \|f - p_{n+1}\|_E + d_{n+1}(E) \sum_{|\alpha|=n+1} |a_x^{(n+1)}|. \quad (4.1)$$

LEMMA 4.1. *With the above notations, the following equalities hold*

$$\limsup_{n \rightarrow \infty} \left( \sum_{|\alpha|=n} |a_x^{(n)}| \right)^{1/n} = \limsup_{n \rightarrow \infty} (\max_{|\alpha|=n} |a_x^{(n)}|)^{1/n} = \limsup_{n \rightarrow \infty} (\|\widehat{p}_n\|_{D_N(1)})^{1/n}.$$

It is easily seen that

$$\max_{|\alpha|=n} |a_x^{(n)}| \leq \|\widehat{p}_n\|_{D_N(1)} \leq h_{n,N} \max_{|\alpha|=n} |a_x^{(n)}|,$$

where  $h_{n,N}$  denotes the number of  $N$ -indices of length  $n$ . Since  $h_{n,N} = \binom{n+N-1}{N-1}$ , we have  $\lim_{n \rightarrow \infty} (h_{n,N})^{1/n} = 1$ , and the above equalities hold.

THEOREM 4.2. *Let  $E$  be an  $L$ -regular compact set in  $C^N$  and  $f$  be a function from  $W(E)$ . A sufficient condition for the existence of a holomorphic extension  $\widehat{f}$  of the function  $f$  to the interior of the set  $E_R$ , for a certain number  $R > 1$ , is that*

$$\lambda(f, E, \{p_n\}) \leq (Rd(E))^{-1}, \quad (4.2)$$

where  $\{p_n\}$  is a sequence of polynomials from  $P(f, E)$ .

*Proof.* By Corollary 3.8, if  $E$  is an  $L$ -regular set, then  $d(E) > 0$ . Fix a number  $r \in (1, R)$ . By Lemma 4.1 there exists a number  $n_r \in N$  such that

$$\sum_{|\alpha|=n} |a_x^{(n)}| \leq (d(E)r)^{-n},$$

for every natural number  $n \geq n_r$ . Fix a positive number  $\varepsilon$  so that  $r_\varepsilon := d(E)r/[d(E) + \varepsilon] > 1$ . By the definition of  $d(E)$ , there exists a number  $n_\varepsilon \geq n_r$  such that

$$d_n(E) \leq (d(E) + \varepsilon)^n, \quad \text{for } n \geq n_\varepsilon. \quad (4.3)$$

Then by (4.1) we obtain

$$\|f - p_n\|_E \leq \|f - p_{n+1}\|_E + (r_\varepsilon)^{-(n+1)}, \quad \text{for } n \geq n_\varepsilon.$$

Since  $f \in W(E)$ , for every number  $n \geq n_\varepsilon$  we can find a number  $k_n \in N$  so large that  $\|f - p_{n+k_n}\|_E \leq (r_\varepsilon)^{-n}$ . Repeating the above argument  $k_n$  times we obtain

$$\|f - p_n\|_E \leq M(\varepsilon) r_\varepsilon^{-n} \quad \text{for } n \geq n_\varepsilon,$$

where  $M(\varepsilon)$  is a constant not depending on  $n$ . Hence, since  $\varepsilon > 0$  and  $r \in (1, R)$  have been chosen arbitrarily, it follows that

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_E^{1/n} \leq R^{-1}.$$

Consequently, by the Bernstein–Walsh–Siciak theorem (cf. [12, Theorem 10.1]) it follows that there exists a holomorphic extension  $\tilde{f}$  of the function  $f$  to the set  $E_R$ .

## 5. THE ONE-DIMENSIONAL CASE

In 1929 S. N. Bernstein (cf. [1, p. 450]) showed that if the polynomials of best approximation in the norm  $L^2([-1, 1], \mu)$  with  $d\mu(x) := (1-x)^\alpha (1+x)^\beta dx$ , to a positive function  $f$  defined on the interval  $[-1, 1]$ , have no zeroes in the interior of the ellipse  $E_R := \{z \in \mathbb{C} : |z + \sqrt{z^2 - 1}| \leq R\}$ ,  $R > 1$ , then  $f$  has a holomorphic extension to the interior of  $E_R$ .

This result was generalized by Pleśniak [11] in the case when  $E$  is a compact set in  $\mathbb{C}$  and  $\mu$  a measure on  $E$  such that the pair  $(E, \mu)$  satisfies the Leja polynomial condition (cf. [7, p. 273]).

For the uniform norm case on the interval  $[-1, 1]$ , Bernstein's theorem was proved by Borwein [3]. Blatt and Saff [2] and independently Wójcik [16] generalized Borwein's result as follows.

**THEOREM 5.1.** *Let  $E$  be an  $L$ -regular compact subset of the complex plane  $\mathbb{C}$  and  $f$  be a function from  $W(E)$ . Denote by  $p_n = a_n z^n + \dots + a_0$  the nearest polynomial to  $f$  from the set  $P_n$  with respect to the  $L^\infty(E)$ -norm. Let  $R$  be a number greater than 1.*

*Then the following conditions are equivalent.*

(1) *There exists a holomorphic extension of the function  $f$  to the interior of the set  $E_R$ ;*

(2) *For every number  $r \in (1, R)$ , there exists a number  $A_r \in \mathbb{C}$  such that  $p_n(z) - A_r \neq 0$  for every  $z \in E_r$  and all  $n \in \mathbb{N}$ ;*

(3)  $\limsup_{n \rightarrow \infty} |a_n|^{1/n} \leq (Rd(E))^{-1}$ .

It is easy to see that Theorems 2.6 and 4.2 extend the equivalence of conditions (1) and (3) of Theorem 5.1 to the case of several complex variables which seems to be a first step for proving the implication (2)  $\Rightarrow$  (1) in the case of  $N > 1$ . Simkani [14] has proved this in the case when  $E$  is the unit polydisc in  $\mathbb{C}^N$ . This problem, posed many years ago by Pleśniak, remains still open in the general case.

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